

04/09/01

JC839 U.S. PTO

04-12-01

EXPRESS MAIL NO. EL841973135US
PATENT
Attorney Docket No. P9530
Client/Matter No. 46234.0085

A

**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket No.

P9530

First Inventor

Victor D. Dolecek

Title

System for the Production of an
Autologous Platelet Gel Useful for the
Delivery of Medicinal and Genetic Agents

Express Mail Label No.

EL841973135US

APPLICATION ELEMENTSAssistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27

3. ☒ Specification total pages
(preferred Arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) total 39 sheets

5. ☐ Oath or Declaration

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from prior appl. (37 C.F.R. § 1.63(d))
- i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in
prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. (See 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table
or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form

- b. ☐ Specification Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies); or

- ii. ☐ paper

- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (coversheet/document(s))

10. ☐ 37 CFR. 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney

11. ☐ English Translation Document

12. ☐ IDS & Form 1449 ☐ Copies of IDS
Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)

15. ☐ Certified Copy of Priority Document(s)

16. ☒ Other: Certificate of Express Mailing

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a
preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☐ Divisional ☐

Continuation-in-part (CIP) of prior application No.: / Prior application information: Examiner:

Group/Art Unit:

FOR CONTINUATION OR DIVISIONAL APPS only:

The entire disclosure of the prior
application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is
hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name

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Hogan & Hartson, LLP

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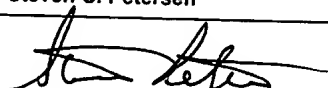
Name (Print/Type)

Steven C. Petersen

Registration No.

36,238

(Signature)



Date

4/9/01

J1033 U.S. PTO

09/832517

04/09/01

FEE TRANSMITTAL for FY 2001

Complete if Known

| | |
|----------------------|-------------------------|
| Application Number | Not Yet Accorded |
| Filing Date | April 9, 2001 |
| First Named Inventor | Victor D. Dolecek et al |
| Examiner Name | Not Yet Accorded |
| Group / Art Unit | Not Yet Accorded |
| Attorney Docket No. | P9530 |

TOTAL AMOUNT OF PAYMENT (\$) (\$ 818.00)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

50-1123

Deposit
Account
Name

Hogan & Hartson L.L.P.

☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 710 | 355 | Utility Filing Fee | 710 |
| 320 | 160 | Design filing fee | |
| 490 | 245 | Plant filing fee | |
| 710 | 355 | Reissue filing fee | |
| 150 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$ 710)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 26 | -20**= 6 | 18 | 108 |
| Independent Claims | -3***= 0 | 0 | 0 |
| Multiple Dependent | | | 0 |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------------|---|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 80 | 202 40 | Independent claims in excess of 3 |
| 104 270 | 204 135 | Multiple dependent claim, if not paid |
| 109 80 | 209 40 | **Reissue independent claims over original patent |
| 110 18 | 210 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 108)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|---|----------|
| 130 | 65 | Surcharge - late filing fee or oath | |
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 130 | Non-English specification | |
| 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 110 | 55 | Extension for reply within first month | |
| 390 | 195 | Extension for reply within second month | |
| 890 | 445 | Extension for reply within third month | |
| 1,390 | 695 | Extension for reply within fourth month | |
| 1,890 | 945 | Extension for reply within fifth month | |
| 310 | 155 | Notice of Appeal | |
| 310 | 155 | Filing a brief in support of an appeal | |
| 270 | 135 | Request for oral hearing | |
| 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 110 | 55 | Petition to revive - unavoidable | |
| 1,240 | 620 | Petition to revive - unintentional | |
| 1,240 | 620 | Utility issue fee (or reissue) | |
| 440 | 220 | Design issue fee | |
| 600 | 300 | Plant issue fee | |
| 130 | 130 | Petitions to the Commissioner | |
| 50 | 50 | Petitions related to provisional applications | |
| 240 | 240 | Submission of Information Disclosure Stmt | |
| 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 710 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 710 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 710 | 355 | Request for Continued Examination Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY Complete (if applicable)

Name (Print/Type) Steven C. Petersen

Registration No. (Attorney/Agent)

36,238

Telephone

(720) 406-5315

Signature

Steven C. Petersen

Date

4/9/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Victor D. Dolecek

Serial No.: Not Yet Accorded

Filed: Herewith

For: System for the Production of
an Autologous Platelet Gel Useful
for the Delivery of Medicinal and
Genetic Agents

Group Art Unit: Not Yet
Accorded

Examiner: Not Yet Accorded

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Utility Patent Application;
3. Fee Transmittal in duplicate;
4. 39 Sheets of Drawings (Figs. 1-69);
5. Check in the amount of \$818.00;
6. Certificate of Express Mailing; and
7. Return post card

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL841973135US, with the United States Postal Service, addressed to Box PATENT APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231.

Date

4/9/01

Date

4/9/01

Mailer

Steven C. Petersen

Reg. No. 36,238

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System for the Production of Autologous Platelet Gel
Useful for the Delivery of Medicinal and Genetic Agents

BY

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WILLIAM F. MCKAY AND JEFFERY C. MARX

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